

W&G Wilson Sonsini Goodrich & Rosati
PROFESSIONAL CORPORATION

RECEIVED
CENTRAL FAX CENTER

SEP 27 2004

Date: September 24, 2004

To: Commissioner for Patents

Fax: 703-872-9306

☐ Use this fax
number only

Company: U.S. Patent and Trademark Office

Phone:

☐ Notify recipient
before sending

From: Ruth E. Brown

Phone: 1-650-461-6545

Return Fax: 1-650-493-6811

Original: ☐ To follow via mail ☐ To follow via courier ☐ To follow via email ☒ Original will not follow

Fax Contains: 4 pages (including this sheet). If incomplete, call [insert your direct number].

Application No.: 10/798,728

Filing Date: May 10, 2004

First Named Inventor: Leonard Kowalsky et al.

Group/Art Unit: 3738

Examiner Name: Not yet assigned

Attorney Docket: 29912-711.401

Attached to this coversheet are the following documents submitted in the above-referenced patent application:

- 1) REQUEST FOR CORRECTED FILING RECEIPT (1PG)
- 2) COPY OF OFFICIAL FILING RECEIPT WITH NOTIFICATION OF CORRECTION IN RED (2PGS)

Ref: 29912-711.401

Return Original to: Ruth E. Brown

Location: F H 12, P7

850 Page Mill Road, Palo Alto, CA 94304-1050 • 650.493.9300 Tel • 650.493.6811 Fax • www.wsgr.com

This fax may contain confidential and privileged material for the sole use of the intended recipient. Any review or distribution by others is strictly prohibited.
If you are not the intended recipient please contact the sender and destroy all copies.

Entire Transmission Copyright © 2003 Wilson Sonsini Goodrich & Rosati. All Rights Reserved.

PAGE 1/4 * RCVD AT 9/27/2004 2:59:15 PM [Eastern Daylight Time] * SVR:USPTO-EFXXRF-1/6 * DNIS:8729306 * CSID:650 493 6811 * DURATION (mm-ss):01-36

BEST AVAILABLE COPY

Attorney Docket No. 29912-711.401
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application)

Inventors: Leonard Kowalsky; Gregory D.
Nieminen; Ryan H. Braxtan; and Mark L.
Mathis)

Application No.: 10/798,728)

Filed: March 10, 2004)

Title System and Method to Effect the Mitral
Valve Annulus of a Heart)

Confirmation No.: 5010

Art Unit: 3738

Examiner: Unassigned

Customer No. 021971

RECEIVED
CENTRAL FAX CENTER
SEP 27 2004Mail Stop: Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Applicants respectfully request a Corrected Filing receipt in this case, to correct the number of sheets for the drawing in the above-referenced application. The correct data should read as follows:

Drawing:

Correct data
"5(sheets)"

Applicant also encloses a copy of a marked Filing Receipt to show the requested change.

There is no fee due under 37 C.F.R. § 1.19(h). Nonetheless, The Commissioner is authorized to charge any additional fees which may be required to Deposit Account No. 23-2415 (Docket No. 29912-711.401).

Issuance of a corrected Filing Receipt is respectfully requested.

Respectfully submitted,

Dated: 9/27/04By: 

James R. Shay, Reg. No. 32,062

WILSON SONSINI GOODRICH & ROSATI
650 Page Mill Road
Palo Alto, CA 94304-1505
(650) 493-9300

C:\NrPortbl\PALIB1\RMCD\2535452_1.DOC

RB

Sep. 27. 2004 11:55AM

WILSON SONSINI

Cardinal No. 4813-11P. 301
Page 1 of 2

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPL NO.	FILING OR 371 (b) DATE	ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/798,728	03/10/2004	3738	579	29912-711.401	6 5	10	6

021971

WILSON SONSINI GOODRICH & ROSATI
850 PAGE MILL ROAD
PALO ALTO, CA 943041050

CONFIRMATION NO. 5010

UPDATED FILING RECEIPT



OC000000013828018

Date Mailed: 08/24/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9196. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Leonard Kowalsky, Bothell, WA;
Gregory D. Nieminen, Bothell, WA;
Ryan H. Braxtan, Snoqualmie, WA;
Mark L. Mathis, Fremont, CA;

Domestic Priority data as claimed by applicant

This application is a DIV of 10/331,143 12/26/2002

Foreign Applications

If Required, Foreign Filing License Granted: 05/27/2004

Projected Publication Date: 12/02/2004

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

System and method to effect the mitral valve annulus of a heart

Preliminary Class

623

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15****GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.